

DT03 Rec'd PC 13 SEP 2004

PATENT APPLICATION/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**10/507450**

IN RE APPLICATION OF:

ATTORNEY'S DOCKET NUMBER

Mark PATRICK

4623-045154

PCT/AU03/00288

ENTITLED

**"CONNECTOR ASSEMBLY"**

**To MAIL STOP PCT**

Attention: DO/EO/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**EXPRESS MAIL CERTIFICATE**

**"Express Mail" Label Number EV534966825US**

**Date of Deposit September 13, 2004**

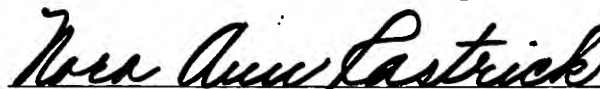
I hereby certify that the following attached papers or fees

**TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/  
ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER  
35 U.S.C. 371 (original and two (2) copies) and check in amount  
of \$1,922.00 for filing fee and surcharge fee for late Declaration  
LETTER RECOGNIZING ATTORNEYS (1 p.)  
PRELIMINARY AMENDMENT with ABSTRACT  
WO 03/076734 - FRONT PAGE with ABSTRACT, SPECIFICATION,  
CLAIMS, DRAWINGS and SEARCH REPORT (58 pp.)  
INTERNATIONAL PRELIMINARY EXAMINATION REPORT with ANNEX (13 pp.)**

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA, 22313-1450.

Nora Ann Pastrick

(Typed name of person mailing paper or fee)



(Signature of person mailing paper or fee)

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 10-95) <b>TRANSMITTAL LETTER TO THE UNITED STATES          DESIGNATED/ELECTED OFFICE (DO/EO/US)          CONCERNING A FILING UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>4623-045154</b>				
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/507450</div>				
INTERNATIONAL APPLICATION NO. <b>PCT/AU03/00288</b>	INTERNATIONAL FILING DATE <b>12.03.03 (March 12, 2003)</b>	PRIORITY DATES CLAIMED <b>12.03.2002; 21.06.2002; 02.09.2002</b>				
<table style="width: 100%;"> <tr> <td style="width: 50%;">TITLE OF INVENTION</td> <td style="width: 50%; text-align: right;">Assignee: UNIVERSITY OF WESTERN SYDNEY</td> </tr> <tr> <td colspan="2" style="text-align: center; font-weight: bold;">CONNECTOR ASSEMBLY</td> </tr> </table>			TITLE OF INVENTION	Assignee: UNIVERSITY OF WESTERN SYDNEY	CONNECTOR ASSEMBLY	
TITLE OF INVENTION	Assignee: UNIVERSITY OF WESTERN SYDNEY					
CONNECTOR ASSEMBLY						
APPLICANT(S) FOR DO/EO/US <div style="text-align: center; font-weight: bold;">Mark PATRICK</div>						
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ol> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))             <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input checked="" type="checkbox"/> have not been made and will not be made.</li> </ol> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 16. Below concern document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</li> <li>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <input checked="" type="checkbox"/> A <b>FIRST</b> preliminary amendment.  <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information:             <ol style="list-style-type: none"> <li>a. WO 03/076734 Front Page with Abstract, Specification, Claims, Drawings and Search Report (58 pp.)</li> <li>b. International Preliminary Examination Report with Annex (13 pp.)</li> </ol> </li> </ol>						

U.S. APPLICATION NO. <b>10/507450</b>		INTERNATIONAL APPLICATION NO. PCT/AU03/00288		ATTORNEY'S DOCKET NUMBER 4623-045154	
---------------------------------------	--	---	--	---	--

<p>17. <input checked="" type="checkbox"/> The following fees are submitted:</p> <p><b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b></p> <p>Search Report has been prepared by the EPO or JPO..... <b>\$920.00</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482)..... <b>\$730.00</b></p> <p>No international preliminary examination fee paid to USPTO (37 CFR 1.482) But international search fee paid to USPTO (37 CFR 1.445(a)(2))..... <b>\$770.00</b></p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO..... <b>\$1080.00</b></p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) And all claims satisfied provisions of PCT Article 33(2)-(4)..... <b>\$100.00</b></p> <p><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></p> <p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 20%;">CLAIMS</th> <th style="width: 20%;">NUMBER FILED</th> <th style="width: 20%;">NUMBER EXTRA</th> <th style="width: 20%;">RATE</th> <th style="width: 20%;"></th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>50 - 20 =</td> <td>30</td> <td>X \$18.00</td> <td>\$ 540.00</td> </tr> <tr> <td>Independent claims</td> <td>5 - 3 =</td> <td>2</td> <td>X \$86.00</td> <td>\$ 172.00</td> </tr> <tr> <td colspan="4">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td>+ \$290.00</td> </tr> </tbody> </table> <p><b>TOTAL OF ABOVE CALCULATIONS =</b></p> <p>Reduction of 1/2 for filing by small entity, if applicable.</p> <p><b>SUBTOTAL =</b></p> <p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +</p> <p><b>TOTAL NATIONAL FEE =</b></p> <p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +</p> <p><b>TOTAL FEES ENCLOSED =</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 70%;"></td> <td style="width: 10%;">Amount to be: Refunded</td> <td style="width: 20%;">\$</td> </tr> <tr> <td></td> <td>Charged</td> <td>\$</td> </tr> </table>	CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		Total claims	50 - 20 =	30	X \$18.00	\$ 540.00	Independent claims	5 - 3 =	2	X \$86.00	\$ 172.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00		Amount to be: Refunded	\$		Charged	\$	<p><b>CALCULATIONS PTO USE ONLY</b></p> <p><b>\$ 1,080.00</b></p> <p><b>\$ 130.00</b></p> <p><b>\$ 540.00</b></p> <p><b>\$ 172.00</b></p> <p><b>\$ 0.00</b></p> <p><b>\$ 1,922.00</b></p> <p><b>\$ 0.00</b></p> <p><b>\$ 1,922.00</b></p> <p><b>\$ 0.00</b></p> <p><b>\$ 1,922.00</b></p> <p><b>\$ 0.00</b></p> <p><b>\$ 1,922.00</b></p>
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																								
Total claims	50 - 20 =	30	X \$18.00	\$ 540.00																							
Independent claims	5 - 3 =	2	X \$86.00	\$ 172.00																							
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				+ \$290.00																							
	Amount to be: Refunded	\$																									
	Charged	\$																									

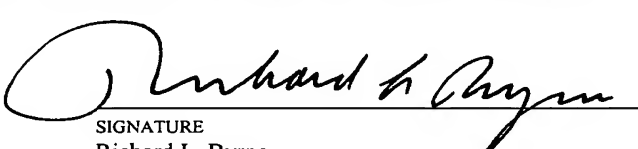
a. ☒ A check in the amount of \$ 1,922.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to  
Deposit Account No. 23-0650. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed  
and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:  
Richard L. Byrne  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, Pennsylvania 15219-1818  
Telephone: (412) 471-8815  
Facsimile: (412) 471-4094

  
 SIGNATURE  
 Richard L. Byrne  
 NAME  
 28,498  
 REGISTRATION NUMBER

10/507450

Customer No. 20207

Application No. Not Yet Assigned  
Paper Dated: September 13, 2004  
In Reply to USPTO Correspondence of N/A  
Attorney Docket No. 4623-045154

DT09 Rec'd PCT/PTO 13 SEP 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No. : Not Yet Assigned  
Applicant : **Mark PATRICK**  
Filed : Concurrently Herewith  
Title : **CONNECTOR ASSEMBLY**  
International Application : No. PCT/AU03/00288  
International Filing Date : 12 March 2003  
Priority Dates Claimed : 12 March 2002; 21 June 2002; 02 September 2002

**MAIL STOP PCT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**LETTER RECOGNIZING ATTORNEYS**

Sir:

Enclosed are appropriate papers for initiating the national phase of the above-identified PCT application, comprising a specification, claims and drawings. A Preliminary Amendment is also enclosed.

Please accept the application for purposes of granting a filing date and recognize, Richard L. Byrne, Russell D. Orkin and Paul M. Reznick, Registration Nos. 28,498, 25,363 and 33,059, respectively, as attorneys in this application, pending the filing of a formal Declaration and Power of Attorney.

Kindly direct all communications relating to this application to **Richard L. Byrne**.

Respectfully submitted,

WEBB ZIESENHEIM LOGSDON  
ORKIN & HANSON, P.C.

By 

Richard L. Byrne, Reg. No. 28,498  
Attorney for Applicant  
700 Koppers Building  
436 Seventh Avenue  
Pittsburgh, PA 15219-1818  
Telephone: 412/471-8815  
Facsimile: 412/471-4094